

# An Introduction to Deep Clustering

Summarized by Tongyu Lu

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## Introduction: Toy Example

Suppose we have a series of vectors  $V = [v_n], n = 1, 2, \dots, N$ , where  $v_n \in \mathbb{R}^K$ . We want to cluster together similar vectors, what will we do?

To make things easy, let us consider  $V = [v_1, v_2, v_3, v_4]$ , where  $v_1, v_3$  should be clustered together and  $v_2, v_4$  should be clustered together. If we want to find that  $v_1$  and  $v_3$  have affinity, we had better calculate the Gram matrix:

$$VV^T = \begin{bmatrix} v_1^T v_1 & v_1^T v_2 & v_1^T v_3 & v_1^T v_4 \\ v_2^T v_1 & v_2^T v_2 & v_2^T v_3 & v_2^T v_4 \\ v_3^T v_1 & v_3^T v_2 & v_3^T v_3 & v_3^T v_4 \\ v_4^T v_1 & v_4^T v_2 & v_4^T v_3 & v_4^T v_4 \end{bmatrix}$$

If  $v_1, v_3$  look similar,  $v_1^T v_3$  should be big.

If we assume that each vector  $v_n$  in  $V$  are normalized, i.e.  $|v_n|^2 = 1$ , then the Gram matrix should look like:

$$VV^T = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

Another example, if  $v_1, v_2, v_3$  should be clustered together, the Gram matrix should look like:

$$VV^T = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Another example, if  $v_1, v_2, v_4$  should be clustered together, the Gram matrix should look like:

$$VV^T = \begin{bmatrix} 1 & 1 & 0 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \end{bmatrix}$$

In fact, if we previously know that  $v_1, v_3$  should be clustered together and  $v_2, v_4$  should be clustered together, we can find another series of vectors  $Y = [y_1, y_2, y_3, y_4]$ , s.t.  $YY^T$  is near  $VV^T$ .

How to find  $Y$ ? It is straightforward:

$$y_1 = y_3 = [1, 0], \quad y_2 = y_4 = [0, 1]$$
$$YY^T = \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$$

Therefore, if there are  $C$  clusters, we can define each  $y_n \in \text{OneHot}(C)$

Then, if one alleges that “ $v_1, v_2, v_3$  should be clustered together, you can assign  $y_1 = y_2 = y_3 = [1, 0]$ ,  $y_4 = [0, 1]$  and then compare  $YY^T$  with  $VV^T$  to check if this allege is correct.

## Real Example: Deep Clustering for Music Source Separation [1,2]

Now, let us change our point of view.

Consider a problem: suppose we have a music audio  $a$ , or its STFT  $A_{T \times F}$ . This music audio is a trio of three instruments:

piano ( $p$ ), violin ( $v$ ) and cello ( $c$ ). Now, we want to train a model which could separate  $A$  into three instrumental parts  $A_p, A_v, A_c$ . What can we do?

First, send  $A$  into an embedding network to get embedding for each time-frequency bin. The embeddings are written as  $V_{T \times F \times K} = \text{Encoder}(A_{T \times F} | \theta)$ . Reshape  $V$  as  $V_{(T \times F) \times K}$ . This matrix looks like the vector series  $V = [v_1, v_2, v_3, v_4]$  in the previous section.

Then, we have training data  $A_p, A_v, A_c$ . We can convert them into binary masks  $M_p, M_v, M_c$  which log the time-frequency activation map of each instrument. Stack them together to get the mask tensor  $M_{T \times F \times 3} = [M_p, M_v, M_c]$ . Reshape  $M$  as  $Y = M_{(T \times F) \times 3}$ . This matrix looks like the vector series  $Y = [y_1, y_2, y_3, y_4]$  in the previous section.

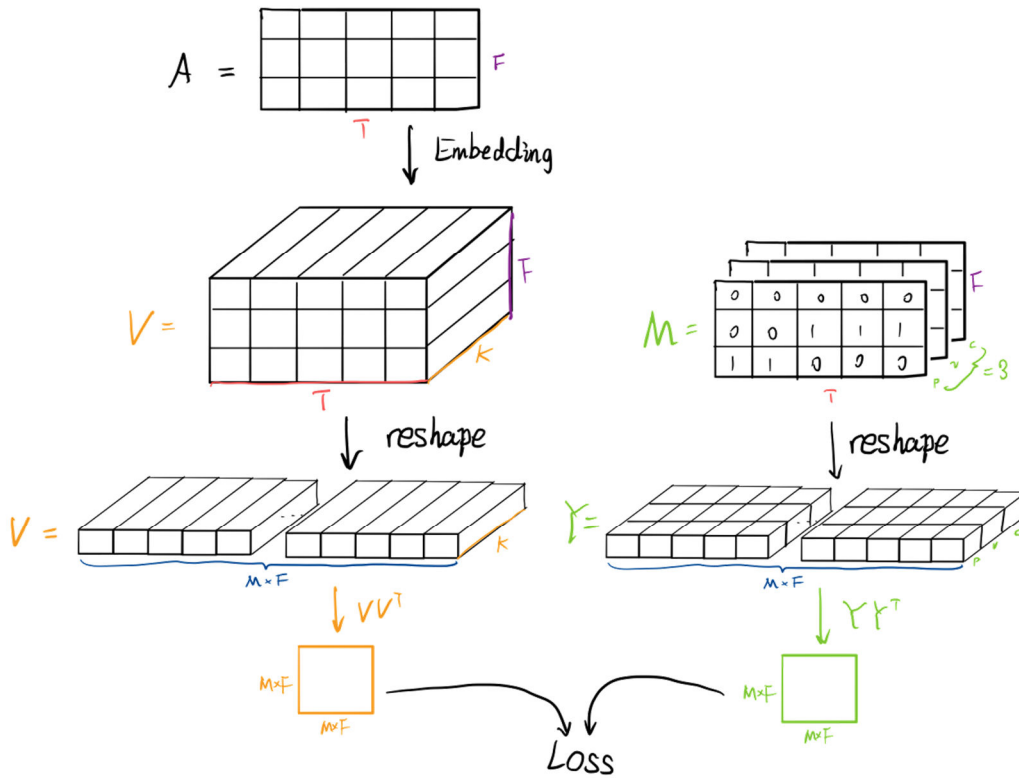
Then you know what to do!

If we allege that at  $(t_0, f_0)$  (time  $t_0$  and frequency bin  $f_0$ ) and  $(t_1, f_1)$ , there are frequency activations for piano, we should expect that  $v_{t_0 \times f_0}^T v_{t_1 \times f_1} = 1$ .

This is the same that  $y_{t_0 \times f_0}^T y_{t_1 \times f_1} = 1 + 0 + 0 = 1$

To reach our expectations, we should make  $VV^T$  as near as  $YY^T$ . Therefore, define loss function  $L = \|VV^T - YY^T\|_F^2$  and minimize it, we will finish learning our encoder and get the best parameter  $\theta^*$ .

The whole procedure is depicted in the figure below:



Once finishing learning parameter  $\theta^*$ , we can do testing for instrumental separation!

First, calculate  $V = \text{Encoder}(A | \theta^*)$ ; then, do clustering on the  $T \times F$  vectors of  $V$  and get the corresponding masks. In this way, we can handle arbitrarily-many instrumental separations.

## References

- [1] J. R. Hershey, Z. Chen, J. Le Roux and S. Watanabe, "Deep clustering: Discriminative embeddings for segmentation and separation," *2016 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, Shanghai, 2016, pp. 31-35, doi: 10.1109/ICASSP.2016.7471631.
- [2] Keitaro Tanaka, Takayuki Nakatsuka, Ryo Nishikimi, Kazuyoshi Yoshii, Shigeo Morishima; Multi-instrument Music Transcription Based on Deep Spherical Clustering of Spectrograms and Pitchgrams; *2020 ISMIR*.